# Neal R. Scott

# Brookmont Capital Management, LLC

5950 Berkshire Lane, Suite 1420

Dallas, TX 75225

214-953-0196

www.brookmont.com

March 29, 2023

This Brochure Supplement provides information about Neal R. Scott that supplements the Brookmont Capital Management, LLC Brochure. You should have received a copy of that Brochure. Please contact Brookmont Capital Management, LLC at (214) 953-0190 if you did not receive Brookmont Capital Management LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Neal R. Scott is available on the SEC's website at www.adviserinfo.sec.gov.

# Item 2 - Educational Background and Business Experience

Neal R. Scott, born in 1967, received a B.S. in Finance in 1990 from the University of Alabama in Tuscaloosa, Alabama. From 2007 to the present, Mr. Scott has served as Principal of Brookmont Capital Management, LLC. Prior to Brookmont Capital Management, he spent nine years at Morgan Keegan in their Birmingham, Alabama office. Previous employment also includes institutional fixed income sales with Compass Bank.

# **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

#### **Item 4 - Other Business Activities**

Mr. Scott is not involved in any other substantial business activities.

# **Item 5 - Additional Compensation**

Mr. Scott is a 100% owner of Brookmont Capital Management. As such, he receives an economic benefit from the success of the firm, including new clients and additional assets. Mr. Scott receives no other substantial additional compensation.

## **Item 6 - Supervision**

Mr. Scott is a Principal and therefore is not under the direct supervision of any other individual.

# Ethan K. Powell Brookmont Capital Management, LLC 5950 Berkshire Lane, Suite 1420 Dallas, TX 75225

www.brookmont.com

469-442-8424

March 29, 2023

This Brochure Supplement provides information about Ethan K. Powell that supplements the Brookmont Capital Management, LLC Brochure. You should have received a copy of that Brochure. Please contact Brookmont Capital Management, LLC at (214) 953-0190 if you did not receive Brookmont Capital Management LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Ethan Powell is available on the SEC's website at www.adviserinfo.sec.gov.

### Item 2 - Educational Background and Business Experience

Ethan K Powell, born 1975, received an M.S.in Management Information Systems and a B.S. in Accounting from Texas A&M University. From 2019 to present, Mr. Powell has served as Principal and Chief Investment Officer of Brookmont Capital Management, LLC. Prior to Brookmont Capital Management, he served as Chief of Product and Strategy at Highland Capital Management Fund Advisors, L.P.

# **Examinations and Professional Designations:**

Mr. Powell received a Chartered Financial Analyst (CFA) in 2007.

# **CFA - Chartered Financial Analyst**

Issued by: CFA Institute

Prerequisites/Experience Required:

Candidate must meet one of the following requirements:

- Undergraduate degree and 4 years of professional experience involving investment decision-making, or
- 4 years qualified work experience (full time, but not necessarily investment related)

Educational Requirements: Self-study program (250 hours of study for each of the 3 levels)

Examination Type: 3 course exams

Continuing Education/Experience Requirements: None

# **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

#### **Item 4 - Other Business Activities**

Ethan founded a nonprofit SEC registered investment advisor, Impact Shares, with which he still serves in a leadership capacity. However, many of the day to day operational activities and distribution has been outsourced. Additionally, Ethan serves as the Chairman of the board for Highland Capital Management Fund Advisors, L.P., a \$4 billion mutual fund complex.

## **Item 5 - Additional Compensation**

None

#### **Item 6 - Supervision**

Mr. Powell is a Principal and therefore is not under the direct supervision of any other individual.

# Thurman Kelley Brookmont Capital Management, LLC 5950 Berkshire Lane, Suite 1420 Dallas, TX 75225

214-736-0486

www.brookmont.com

March 29, 2023

This Brochure Supplement provides information about Thurman Kelley that supplements the Brookmont Capital Management, LLC Brochure. You should have received a copy of that Brochure. Please contact Brookmont Capital Management, LLC at (214) 953-0190 if you did not receive Brookmont Capital Management LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Thurman Kelley is available on the SEC's website at www.adviserinfo.sec.gov.

# Item 2 - Educational Background and Business Experience

Thurman Kelley, born in 1991, received a B.S. in Economics in 2017 from Southern Methodist University in Dallas, Texas. From 2019 to the present, Mr. Kelley has served as Head of Investor Relations for Brookmont Capital Management, LLC. Prior to Brookmont Capital Management, he was a Treasury Management Officer for JP Morgan & Chase Co. and VP of Business Development for Payment Approved Technologies.

# **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

#### **Item 4 - Other Business Activities**

Mr. Kelley is not involved in any other substantial business activities.

# **Item 5 - Additional Compensation**

None

## **Item 6 - Supervision**

Mr. Kelley is an employee of Brookmont Capital Management and is under the direct supervision of Neal Scott, Principal, and/or Ethan Powell, Principal, who can be reached at (214) 953-0190.